## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

SWAINE et al

Serial No. 09/773,387

Filed: February 1, 2001

For: TRACING OUT-OF-ORDER DATA

Atty Ref.:

550-205

Group:

2121

Examiner:

May 2, 2001

Assistant Commissioner for Patents Washington, DC 20231

# **SUBMISSION OF FORMAL DRAWINGS**

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed March 8, 2001, enclosed herewith are seven (7) sheets of formal, inked drawings for the above-identified application.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By:

Stanley C. Spooner Reg. No. 27,393

SCS:kmm

1100 North Glebe Road, 8th Floor

Arlington, VA 22201-4714

Telephone: (703) 816-4000 Facsimile: (703) 816-4100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE in re Patent Application of: oplication Branch SWAINE et al Atty. Dkt. 550-205 2 2001 Serial No. 09/773,387 Filed: February 1, 2001 May 2, 2001 Date: For: TRACING OUT-OF-ORDER DAT **Assistant Commissioner for Patents** Mashington, D.C. 20231 The attached completes filing of the above-identified patent application: Signed Rule 63 Declaration alone OR Signed Declaration plus attached copy of originally filed specification/drawings. NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED form. Record and return the attached assignment. Priority is hereby claimed per Rule 55 & 35 USC119 based on prior foreign application(s) Nos.: Country Application Nos. **Filing Date** respectively. This application is based on the following prior provisional application(s): **Filing Date** Application No. respectively and priority is hereby claimed therefrom. Certified copy(ies) of foreign and/or provisional application(s): \( \square attached: \square attache in U.S. Application Serial No. , filed on The undersigned verifies that the above-identified application is identical to: filed , as amended on This application is entitled to "Small entity" status. "Small entity" statement attached. Also attached: Submission of Formal Drawings Fees are attached as calculated below: Basic filing fee 710.00 Total Effective claims \$ 13 -20 =x \$ 18.00 0.00 Independent claims 2 - 3 = 0 x \$ 80.00 \$ 0.00 If any proper multiple dependent claims now added for first time, add \$270.00 (ignore improper) \$ 0.00 **FILING FEE** \$ 710.00 Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$390.00/2 months; \$890.00/3 months; \$1390.00/4 months) \$ 0.00 Surcharge (\$130.00) if Declaration or filing fee first now submitted \$ 130.00 \$ FIRST SUBTOTAL 840.00 If "small entity," enter half (1/2) of subtotal and subtract -\$ 0.00 840.00 SECOND SUBTOTAL \$ Assignment Recording Fee (\$40.00) 40.00 **TOTAL FEE ENCLOSED** 880.00 Any future submission requiring an extension of time is hereby stated to include a petition for such time extension.

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any <u>deficiency</u> in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8<sup>th</sup> Floor Arlington, Virginia 22201-4714 Telephone: (703) 816-4000 Facsimile: (703) 816-4100 SCS:kmm NIXON & VANDERHYE P.C.

By Atty: Stanley C. Spooner, Reg. No. 27,393

Signature:





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APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/773,387

02/01/2001

Andrew B Swaine

550-205

**CONFIRMATION NO. 3984** 

Date Mailed: 03/08/2001

FORMALITIES LETTER

\*OC000000005840883\*

NIXON & VANDERHYE P.C. 8th Floor 1100 North Glebe Road Arlington, VA 22201



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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01 FC:101 02 FC:105 710.00 OP 130.00 OP A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202
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